# Measurement of high- $Q^2$ charged-current $e^+p$ deep inelastic scattering cross sections at HERA

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**Abstract.** The  $e^+p$  charged-current deep inelastic scattering cross sections,  $d\sigma/dQ^2$  for  $Q^2$  between 200 and 60000 GeV<sup>2</sup>, and  $d\sigma/dx$  and  $d\sigma/dy$  for  $Q^2 > 200$  GeV<sup>2</sup>, have been measured with the ZEUS detector at HERA. A data sample of 47.7 pb<sup>-1</sup>, collected at a center-of-mass energy of 300 GeV, has been used. The double-differential cross-section  $d\sigma/dQ^2$  falls by a factor of about 50000 as  $Q^2$  increases from 280 to 30000 GeV<sup>2</sup>. The double differential cross section  $d^2\sigma/dxdQ^2$  has also been measured. A comparison between the data and Standard Model (SM) predictions shows that contributions from antiquarks ( $\overline{u}$  and  $\overline{c}$ ) and quarks (d and s) are both required by the data. The predictions of the SM give a good description of the full body of the data presented here. A comparison of the charged-current cross-section  $d\sigma/dQ^2$  with the recent ZEUS results for neutral-current scattering shows that the weak and electromagnetic forces have similar strengths for  $Q^2$  above  $M_W^2, M_Z^2$ . A fit to the data  $(\sigma d\sigma/dQ^2)^2$  with the Fermi constant  $G_F$  and  $M_W$  as free parameters yields  $G_F = (1.171\pm0.034 (\text{stat.})^{+0.026}_{-0.015} (\text{PDF})) \times 10^{-5} \text{ GeV}^{-2}$  and

 $M_W = 80.8^{+4.9}_{-4.5} \text{ (stat.)}^{+5.0}_{-4.3} \text{ (syst.)}^{+1.4}_{-1.3} \text{ (PDF) GeV. Results for } M_W$ , where the propagator effect alone or the SM constraint between  $G_F$  and  $M_W$  have been considered, are also presented.

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# 1 Introduction

Deep inelastic scattering (DIS) of leptons on nucleons is the key source of information for the development of our understanding of the structure of the nucleon. In the Standard Model (SM), charged-current (CC) DIS is mediated by the exchange of the W boson (see Fig. 1a). In contrast to neutral-current (NC) interactions, where all quark and antiquark flavors contribute, only down-type quarks (antiquarks) and up-type antiquarks (quarks) participate at leading order in  $e^+p$  ( $e^-p$ ) CC DIS reactions. Therefore, CC DIS provides a powerful tool for the flavor-specific investigation of parton momentum distributions. Even though CC events are kinematically less constrained than NC events due to the unobserved final-state neutrino, they can be identified with little background at HERA.

First measurements of the CC DIS cross section at HERA, reported previously by the H1 [1,2] and ZEUS [3,4] collaborations, extended the coverage of the kinematic range compared to that of the fixed-target neutrino-nucleus scattering experiments [5] by about two orders of

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Fig. 1. a A schematic diagram of charged-current positronproton scattering. b A view of a charged-current candidate event in the ZEUS detector, projected in the plane parallel to the beam. The filled boxes indicate energy deposits in the calorimeter. The transverse momentum imbalance can be clearly seen in the calorimeter and also from the tracks of charged particles measured in the central tracking detector

magnitude in the four-momentum transfer squared  $(-Q^2)$ . These analyses were based on  $e^-p$  and  $e^+p$  data samples of approximately 1 pb<sup>-1</sup> and 3 pb<sup>-1</sup>, respectively. The cross section at high  $Q^2$  demonstrated, for the first time, the presence of a space-like propagator with a finite mass, consistent with that of the W boson.

This paper presents results from ZEUS on the CC  $e^+p$ DIS differential cross-sections  $d\sigma/dQ^2$ ,  $d\sigma/dx$ ,  $d\sigma/dy$  and  $d^2\sigma/dxdQ^2$  for  $Q^2 > 200$  GeV<sup>2</sup>, and comparisons to SM predictions. The measurements are based on 47.7 pb<sup>-1</sup> of data collected with the ZEUS detector from 1994–1997, during which HERA collided 27.5 GeV positrons with 820 GeV protons, yielding a center-of-mass energy  $\sqrt{s} = 300$  GeV. The 16-fold increase in the luminosity compared to the previous measurements allows the double-differential cross-section  $d^2\sigma/dxdQ^2$  to be determined in this high- $Q^2$  regime for the first time. A recent publication presented NC cross sections from the same data sample [6]. These data, together with those presented here, permit a precise comparison of CC and NC cross sections up to  $Q^2$  values of about 2·10<sup>4</sup> GeV<sup>2</sup>.

# 2 Standard model prediction

The electroweak Born cross section for the reaction

$$e^+p \to \bar{\nu}_e X$$
 (1)

can be written as

$$\frac{d^2 \sigma_{\text{Born}}^{\text{CC}}(e^+ p)}{dx \, dQ^2} = \frac{G_F^2}{4\pi x} \left( \frac{M_W^2}{M_W^2 + Q^2} \right)^2 \times \left[ Y_+ F_2^{\text{CC}}(x, Q^2) - Y_- x F_3^{\text{CC}}(x, Q^2) - y^2 F_L^{\text{CC}}(x, Q^2) \right], \quad (2)$$

where  $G_F$  is the Fermi constant,  $M_W$  is the mass of the W boson, x is the Bjorken scaling variable,  $y = Q^2/xs$  and  $Y_{\pm} = 1 \pm (1-y)^2$ . The center-of-mass energy of the

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positron-proton collision is given by  $\sqrt{s} = 2\sqrt{E_e E_p}$ , where  $E_e$  and  $E_p$  are the positron and proton beam energies, respectively. The structure functions  $F_2^{\rm CC}$  and  $xF_3^{\rm CC}$ , in leading-order (LO) QCD, measure sums and differences of quark and antiquark parton momentum distributions [7]. For longitudinally unpolarized beams,

$$F_2^{\rm CC} = x[d(x,Q^2) + s(x,Q^2) + \bar{u}(x,Q^2) + \bar{c}(x,Q^2)], \quad (3)$$

$$xF_3^{\rm CC} = x[d(x,Q^2) + s(x,Q^2) - \bar{u}(x,Q^2) - \bar{c}(x,Q^2)], \quad (4)$$

where  $d(x, Q^2)$  is, for example, the parton distribution function (PDF) which gives the number density of a down quark with momentum fraction x in the proton. Since the top quark mass is large and the off-diagonal elements of the CKM matrix are small, the contribution from the third generation quarks to the structure functions may be safely ignored [8]. The chirality of the CC interaction is reflected by the factors  $Y_{\pm}$  multiplying the structure functions. The longitudinal structure function,  $F_L^{CC}$ , is zero at leading order but is finite at next-to-leading-order (NLO) QCD. It gives a negligible contribution to the cross section except at y values close to 1, where it can be as large as 10%.

The electroweak radiative corrections to (2) receive contributions from initial state photon radiation, fermion and boson loops, and the exchange of multiple intermediate vector bosons. The effects of these radiative corrections are taken into account to leading order [9], so that the quoted cross sections in this paper are corrected to the electroweak Born level. Equation (2) is evaluated with  $G_F = 1.16639 \times 10^{-5} \text{ GeV}^{-2}$  and  $M_W = 80.41 \text{ GeV}$  [10]. The uncertainties in the electroweak parameters have a negligible effect both on the calculated cross sections and on the radiative corrections.

Thus, the main uncertainty in the SM cross-section prediction comes from the PDF uncertainties, which are discussed in detail in [6,11] and are taken into account in the CC cross-section calculation. The resulting uncertainty in  $d\sigma/dQ^2$ , for example, ranges from 4% at  $Q^2 =$ 200 GeV<sup>2</sup> to 10% at  $Q^2 = 10000$  GeV<sup>2</sup>, and increases further at higher  $Q^2$ . The large uncertainty at high  $Q^2$  is due to the *d*-quark density which is poorly constrained at high *x* by the experimental data.

## **3** The ZEUS experiment

ZEUS [12] is a multipurpose magnetic detector designed to measure ep interactions at HERA. The primary components used for this analysis are the compensating uraniumscintillator calorimeter (CAL), the central tracking detector (CTD), and the luminosity detector.

The ZEUS coordinate system is right-handed with the Z axis pointing in the direction of the proton beam (forward) and the X axis pointing horizontally toward the center of HERA. The polar angle  $\theta$  is zero in the Z direction.

Tracking information is provided by the CTD [13] operating in a 1.43 T solenoidal magnetic field. The interaction vertex is measured with a typical resolution along (transverse to) the beam direction of 0.4 (0.1) cm. The CTD is used to reconstruct the momenta of tracks in the polar angle region  $15^{\circ} < \theta < 164^{\circ}$ . The transverse momentum  $(p_t)$  resolution for full-length tracks can be parameterized as  $\sigma(p_t)/p_t = 0.0058 \ p_t \oplus 0.0065 \oplus 0.0014/p_t$ , with  $p_t$  in GeV.

The CAL [14] covers 99.7% of the total solid angle. It is divided into three parts with a corresponding division in  $\theta$  as viewed from the nominal interaction point: forward (FCAL,  $2.6^{\circ} < \theta < 36.7^{\circ}$ ), barrel (BCAL,  $36.7^{\circ} < \theta <$ 129.1°) and rear (RCAL, 129.1°  $< \theta < 176.2^{\circ}$ ). Each section is subdivided into towers which subtend solid angles between 0.006 and 0.04 steradian. Each tower is longitudinally segmented into an electromagnetic (EMC) and one (RCAL) or two (FCAL, BCAL) hadronic sections (HAC). The electromagnetic section of each tower is further subdivided transversely into two (RCAL) or four (BCAL, FCAL) cells. Under test-beam conditions the calorimeter resolutions were  $\sigma/E = 18\%/\sqrt{E(\text{GeV})}$  for electrons and  $\sigma/E = 35\%/\sqrt{E(\text{GeV})}$  for hadrons. The calorimeter has a time resolution of better than 1 ns for energy deposits above 4.5 GeV. The position of the interaction vertex along the beam direction can also be reconstructed from the measured arrival time of energy deposits in FCAL [15]. The resolution is about 9 cm for events with FCAL energy above 25 GeV and improves to about 7 cm for FCAL energy above 100 GeV.

An instrumented-iron backing calorimeter [16] (BAC) measures energy leakage from the CAL. The muon chambers in the forward [12], barrel and rear [17] regions are used in this analysis to detect background events induced by cosmic-ray or beam-halo muons.

The luminosity is measured using the Bethe-Heitler reaction  $ep \rightarrow ep\gamma$  [18]. The resulting small angle energetic photons are measured by the luminosity monitor, a lead-scintillator calorimeter placed in the HERA tunnel 107 m from the interaction point in the positron beam direction.

# 4 Monte Carlo simulation

Monte Carlo simulations (MC) are used to determine the efficiency for selecting events, to determine the accuracy of kinematic reconstruction, to estimate the background rate and to extrapolate measured cross sections to the full kinematic phase space. A sufficient number of events is generated to ensure that errors from MC statistics can be neglected. The MC samples are normalized to the total integrated luminosity of the data.

The ZEUS detector response is simulated with a program based on GEANT [19]. The generated events are passed through the simulated detector, subjected to the same trigger requirements as the data, and processed by the same reconstruction programs.

The underlying distribution of the Z-coordinate of the event vertex is determined using a minimum-bias sample of low- $Q^2$  neutral-current DIS events as discussed in detail in [6].

CC DIS events including radiative effects are simulated using the HERACLES 4.5.2 [9] program with the DJANGO6 2.4 [20] interface to the QCD programs. In HERACLES, corrections for initial-state radiation, vertex and propagator corrections, and two-boson exchange are included. The QCD cascade and the hadronic final state are simulated using the color-dipole model of ARIADNE 4.08 [21] and, as a systematic check, the MEPS model of LEPTO 6.5 [22]. Both programs use the Lund string model of JETSET 7.4 [23] for the hadronization. A set of NC events generated with DJANGO is used to estimate the NC contamination in the CC sample.

Photoproduction background is estimated using events simulated with HERWIG [24]. The background from W production is estimated using the EPVEC [25] generator, and the background from Bethe-Heitler production of chargedlepton pairs is generated with the LPAIR [26] program.

# 5 Reconstruction of kinematic variables

The principal signature of CC DIS events at HERA is the presence of a large missing transverse momentum,  $P_T$ . This is illustrated in Fig. 1b, where an event from the final CC DIS sample is shown. The struck quark gives rise to one or more jets of hadrons. The energetic final-state neutrino escapes detection, leaving a large imbalance in the transverse momentum observed in the detector.  $P_T$  is calculated as

$$\mathcal{P}_{T}^{2} = P_{x}^{2} + P_{y}^{2} = \left(\sum_{i} E_{i} \sin \theta_{i} \cos \phi_{i}\right)^{2} + \left(\sum_{i} E_{i} \sin \theta_{i} \sin \phi_{i}\right)^{2}, \quad (5)$$

where the sum runs over all calorimeter energy deposits  $E_i$  (uncorrected in the trigger, but corrected in the offline analysis as discussed below), and  $\theta_i$  and  $\phi_i$  are their polar and azimuthal angles as viewed from the interaction vertex. The hadronic polar angle,  $\gamma_h$ , is defined by

$$\cos\gamma_h = \frac{P_T^2 - \delta^2}{P_T^2 + \delta^2},\tag{6}$$

where

$$\delta = \sum_{i} (E_i - E_i \cos \theta_i) = \sum_{i} (E - p_z)_i.$$
(7)

In the naïve Quark Parton Model,  $\gamma_h$  gives the angle of the struck quark. Another variable used in the selection is the total transverse energy,  $E_T$ , given by

$$E_T = \sum_i E_i \sin \theta_i. \tag{8}$$

The kinematic variables are reconstructed using the Jacquet-Blondel method [27]. The estimators of y,  $Q^2$  and x are:

$$y_{JB} = \delta/(2E_e); \quad Q_{JB}^2 = F_T^2/(1 - y_{JB});$$
  
$$x_{JB} = Q_{JB}^2/(sy_{JB}). \tag{9}$$

For the offline determination of  $P_T$ ,  $\delta$  and  $E_T$ , methods developed and tested for the NC cross section determination [6] are used. The calorimeter cells with energy deposits are grouped into units called clusters. For each cluster, corrections depending on the cluster energy and angle are made for hadronic energy loss in inactive material in front of the calorimeter. The correction algorithm, which is based on MC, has been verified using the highly constrained NC events measured in the ZEUS detector. Energetic hadron jets in the FCAL direction may produce particles backscattered into the BCAL or RCAL (albedo). Also, particles may be redirected by the material between the interaction point and the calorimeter. Such effects, which create biases in the measurement of  $\gamma_h$ , are suppressed by removing low energy clusters at polar angles much larger than the calculated value of  $\gamma_h$ .

## 6 Event selection

CC DIS candidates are selected by requiring large  $P_T$  and a reconstructed event vertex consistent with an ep interaction. The main sources of background affecting the CC event selection are processes like NC DIS and high- $E_T$ photoproduction, where the finite resolution or energy escaping detection in the CAL cause  $P_T$ . Events not originating from ep collisions such as beam-gas interactions, beam-halo muons or cosmic rays can also cause substantial apparent imbalance in the transverse momentum and constitute other sources of background. The selection criteria described below are imposed to separate CC events from the background.

The events are classified first according to  $\gamma_0$ , the value of  $\gamma_h$  measured with respect to the nominal interaction point. If  $\gamma_0$  is sufficiently large, i.e. in the central region, tracks in the CTD are used to reconstruct the event vertex, which strongly suppresses non-ep backgrounds. The selection procedure designed to select these events is described in Sect. 6.2. On the other hand, if  $\gamma_0$  is small, i.e. in the forward region, the hadronic final state of such CC events is often outside the acceptance of the CTD, and thus calorimeter timing is used for the vertex reconstruction. The algorithm designed specifically to select such events, which tend to be at high x values, is described in Sect. 6.3. The kinematic quantities are finally recalculated using the Z-coordinate of the event vertex  $(Z_{\rm VTX})$ determined from either CTD tracks or calorimeter timing, depending on  $\gamma_0$ .

#### 6.1 Trigger selection

ZEUS has a three-level trigger system [12]. At the first trigger level, events are selected using criteria based on the energy, transverse energy and missing transverse momentum determined by the calorimeter [28]. Generally, events are triggered with a lower threshold of these values in coincidence with at least one CTD track, while a higher threshold is necessary for events with no CTD track. The latter events have a hadronic final state boosted forward outside the CTD acceptance. Typical threshold values are 5 GeV (8 GeV) in missing transverse momentum, or 11.5 GeV (30 GeV) in transverse energy, for events with (without) CTD tracks.

At the second level, timing information from the calorimeter is used to reject background events inconsistent with the bunch-crossing time. Also, the missing transverse momentum is available with better resolution than at the first level, so that a tighter cut of 6 GeV (9 GeV without CTD track) can be made.

At the third level, track reconstruction and vertex finding are performed and are used to reject candidate events with a vertex that is inconsistent with an *ep* interaction. The thresholds on the trigger quantities are lower than the cut variables used in the offline analysis.

## 6.2 Offline selection based on a CTD vertex

Events with  $\gamma_0 > 23^\circ$  are required to contain a vertex reconstructed from CTD tracks and to satisfy the following criteria:

- $P_T > 12 \text{ GeV}$  and  $P_T' > 10 \text{ GeV}$
- $P_T'$  is the missing transverse momentum calculated excluding the FCAL towers closest to the beam hole. The  $P_T'$  cut strongly suppresses beam-gas background events while maintaining high efficiency for CC events.
- $|Z_{\text{VTX}}| < 50 \text{ cm}$ A vertex reconstructed by the CTD is required to be within the range consistent with the *ep* interaction region.
- Tracking requirement

At least one track associated with the event vertex must have transverse momentum in excess of 0.2 GeVand a polar angle in the range  $15^{\circ}$  to  $164^{\circ}$ .

- Rejection of photoproduction

Photoproduction events tend to have azimuthally symmetric hadronic energy flow. At high  $E_T$ , a relatively small imbalance due to resolution effects or escaping particles can lead to non-negligible  $P_T$ . These events are rejected by the following cuts:  $P_T/E_T > 0.4$  is required for events with  $20 < P_T < 30$  GeV;  $P_T/E_T > 0.55$  is required for events with  $P_T < 20$  GeV. No  $P_T/E_T$  requirement is imposed on events with  $P_T > 30$  GeV. In addition, the difference between the direction of the  $(P_x, P_y)$  vector calculated using CTD tracks and that obtained using the calorimeter is required to be less than 1 radian if  $P_T < 20$  GeV.

- Rejection of NC DIS

NC DIS events in which the positron or jet energy is poorly measured can have a large  $P_T$ . To identify such events, a positron-finding algorithm which selects isolated electromagnetic clusters [29] is used. Candidate positron clusters within the CTD acceptance are required to have an energy above 4 GeV and a matching track with momentum larger than 25% of the cluster energy. Clusters with  $\theta > 164^{\circ}$  are required to have a transverse momentum exceeding 2 GeV. Events with a candidate positron satisfying the above criteria and  $\delta > 30$  GeV are rejected; for contained NC events,  $\delta$  peaks at  $2E_e = 55$  GeV. This cut is applied only for events with  $P_T < 30$  GeV.

 Rejection of non-ep background Beam-gas events typically give calorimeter arrival times which are inconsistent with the bunch-crossing time. Such events are rejected. A muon-finding algorithm based on calorimeter energy deposits or muonchamber signals is used to reject events produced by cosmic-ray or beam-halo muons.

#### 6.3 Offline selection without CTD vertex

Events with  $\gamma_0 < 23^\circ$  are not required to have an event vertex reconstructed from CTD tracks. They must satisfy the following criteria:

 $- \not\!\!P_T > 14 \text{ GeV}$  and  $\not\!\!P_T' > 12 \text{ GeV}$ 

Relaxing the requirements on tracking and the CTD vertex results in an increase of non-*ep* background. To compensate for this, the requirements on the missing transverse momentum are tightened.

 $-|Z_{\rm VTX}| < 50 {\rm ~cm}$ 

 $Z_{\rm VTX}$  is reconstructed from the measured arrival time of energy deposits in FCAL. The relation between the timing measurement and  $Z_{\rm VTX}$  was determined using a large data sample of NC DIS events, in which a reliable  $Z_{\rm VTX}$  estimate can be obtained from the positron track even if the hadronic system is boosted in the very forward direction.

- Rejection of photoproduction  $P_T/E_T > 0.6$  is required for events with  $P_T < 30$  GeV. This cut also suppresses beam-gas interactions.
- Rejection of non-ep background The same timing and muon-rejection cuts are used as described in Sect. 6.2. A class of background events which are especially troublesome in this selection branch arises from beam-halo muons interacting inside the FCAL. To reduce this background, topological cuts on the transverse and longitudinal shower shape are imposed; these reject events where the energy deposits are much more strongly collimated than for typical hadronic jets. Another characteristic of muons traversing the detector parallel to the beam line is a coincidence of energy deposits in the RCAL and FCAL at similar (X, Y) positions. If such a coincidence is found, the event is rejected.

NC DIS is negligible in this selection branch.

#### 6.4 Final event sample

In order to restrict the sample to regions where the resolution in the kinematic variables is acceptable and the background is small, further requirements  $Q_{JB}^2 > 200 \text{ GeV}^2$ and  $y_{JB} < 0.9$  are imposed. The cross sections presented below are corrected to the full y range using the SM ydependence described by (2).

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**Fig. 2.** Distribution of the selected CC candidates in the  $(x, Q^2)$  plane. Open (full) circles show the events selected with (without) tracking vertex. The curve shows the  $P_T$  cut of 12 GeV. The bin boundaries are shown by the dotted lines, delimited by the diagonal dotted line of the kinematic limit, y = 1. The bins used in the double differential cross section measurement are marked with solid lines

The combined selection efficiency of the above cuts for most of the x and y region (0.1 < x, 0.1 < y < 0.9) is typically 90%. At low x or high y, the efficiency decreases due to the  $P_T$  requirement. At low y, the hadronic system is close to the beam pipe and the  $P_T'$  requirement affects the efficiency. The overall selection efficiency for CC events with  $Q^2 > 200 \text{ GeV}^2$  is 70%.

The final sample consists of 1086 events. All events have been scanned visually, and no remaining cosmic or halo-muon background events have been found. The distribution of  $Q^2$  versus x for the accepted events is shown in Fig. 2. For x > 0.2, the sample is dominated by events for which the interaction vertex is determined by the calorimeter timing (full circles). Figure 2 also demonstrates that the acceptance is zero for events at very low x in the low  $Q^2$  region due to the  $P_T$  cut. There is also zero acceptance for events at very low y (low  $Q^2$  and high x), where a large part of the hadronic system escapes in the forward beam pipe direction. The MC is used to correct for the acceptance loss of such events in determining the cross sections.

Figures 3a–d show the distributions of the variables  $P_T$ ,  $\delta$ ,  $P_T/E_T$  and  $\gamma_h$  in the final event sample, compared with the corresponding MC predictions, which include the contributions from CC DIS and the small contri-



Fig. 3a-h. Comparison of the final CC data sample (solid points) with the expectations of the MC (histograms), normalized to the luminosity of the data. The distributions of **a** the missing transverse momentum,  $P_T$ , **b** the variable  $\delta$ , **c**  $P_T/E_T$ , the ratio of missing transverse momentum to total transverse energy and **d** the variable  $\gamma_h$ , are shown. In **e** and **f**, the distributions of the Z position of the event vertex and the number of tracks assigned to the primary vertex, N<sub>VT</sub>, are shown for selected events with CTD vertex (see Sect. 6.2). In **g** and **h**, the distribution of  $Z_{VTX}$  and  $E_{FCAL}$  are shown for events passing the selection with the timing vertex (see Sect. 6.3)

butions from the background sources described below. The CC MC distribution is based on the CTEQ4D [30] PDF set (see discussion in Sect. 9.1). The contamination from events not due to ep collisions, such as beam-gas interactions, is negligible. In general, good agreement is observed, except for some excess at low  $\gamma_h$ . Furthermore, the peak of the  $P_T/E_T$  distribution is shifted to slightly lower values as compared to MC. For the events selected with a CTD vertex (Sect. 6.2), shown by the open circles in Fig. 2, the distributions of  $Z_{\text{VTX}}$  and the number of tracks assigned to the primary vertex are provided in Fig. 3e and f. The good agreement between data and MC shows that as far as the acceptance calculation is concerned, the CC final state is well modeled by the MC. For the events selected with a timing vertex (Sect. 6.3), the distributions of  $Z_{\rm VTX}$ and the total energy in FCAL,  $E_{\text{FCAL}}$ , are shown in g and

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h. About 18% of data events fall in this category, while MC predicts 16%. This small excess is directly related to the excess in the low  $\gamma_h$  (i.e. high x) region seen in d. As seen in h, all events in this sample have large FCAL energies to ensure a good resolution for  $Z_{\rm VTX}$  from the timing.

The relative resolution in  $Q^2$  is approximately 20% over the entire range of  $Q^2$ . The relative resolution in ximproves from ~ 20% in the interval 0.01 < x < 0.0215 (see Sect. 7) to ~ 8% at high x, and that in y is approximately 8% over the entire range, except for y < 0.1 where it increases to ~ 11%. Here the resolutions are obtained by comparing the reconstructed quantities with the true values in MC, and the RMS value of the distribution is quoted.

The fraction of background events in the final sample is typically below 1% at high  $Q^2$  and increases as  $Q^2$  decreases, exceeding 10% in the interval 200 GeV<sup>2</sup>  $< Q^2 <$ 400 GeV<sup>2</sup> (see Sect. 7), as estimated from MC. Photoproduction and Bethe-Heitler dilepton production in the dimuon channel are the dominant sources of background at low  $Q^2$ , whereas the production and decay of time-like W bosons is the remaining background at high  $Q^2$ . The contamination from NC events is negligible.

## 7 Cross-section determination

The single and double differential cross sections are determined using bin-by-bin unfolding. The measured cross section in a particular bin,  $\sigma_{\text{meas}}$ , is determined from

$$\sigma_{\rm meas} = \frac{N_{\rm obs} - N_{\rm bg}}{\mathcal{AL}},\tag{10}$$

where  $N_{\rm obs}$  is the number of observed events in the bin,  $N_{\rm bg}$  is the estimated number of background events,  $\mathcal{A}$  is the acceptance and  $\mathcal{L}$  is the integrated luminosity. The acceptance, defined from the MC as the number of events reconstructed within the bin divided by the number of events generated in that bin, takes both the selection efficiency and the event migration due to resolution into account.

The measured cross section includes the radiative effects discussed in Sect. 2. The correction factor to provide the Born-level cross section is defined as

$$C_{\rm rad} = \frac{\sigma_{\rm Born}^{\rm SM}}{\sigma_{\rm rad}^{\rm SM}}.$$
 (11)

The numerator is obtained by numerically integrating (2) over the bin. The value of  $\sigma_{\rm rad}^{\rm SM}$ , the cross section in the bin including radiation, is calculated using HERACLES 4.6.2 [31]. The measured Born-level cross section is then given by

$$\sigma_{\rm Born} = \sigma_{\rm meas} \mathcal{C}_{\rm rad}.$$
 (12)

Finally, the quoted differential cross section, for example  $d\sigma/dQ^2$ , is calculated as

$$\frac{d\sigma}{dQ^2} = \frac{\sigma_{\rm Born}}{\sigma_{\rm Born}^{\rm SM}} \frac{d\sigma_{\rm Born}^{\rm SM}}{dQ^2}.$$
(13)

A similar procedure is used for  $d\sigma/dx, d\sigma/dy$  and  $d^2\sigma/dxdQ^2$ . In this manner, the acceptance factor  $\mathcal{A}$  is used to correct the effect of all the selection cuts (Sect. 6) and the cross sections are extrapolated to the full kinematic range. In particular the MC is used to extrapolate beyond the *y*-region restricted by the  $y_{JB} < 0.9$  cut. The differential cross sections  $d\sigma/dx$  and  $d\sigma/dy$  are quoted in the region  $Q^2 > 200 \text{ GeV}^2$ .

For the  $d\sigma/dQ^2$  measurement, nine bins are used between  $Q^2 = 200$  and 60000 GeV<sup>2</sup>. The bins have equal width in  $\log_{10}Q^2$  between 400 and 22494 GeV<sup>2</sup> (four bins per decade), while the lowest and highest  $Q^2$  bins have somewhat larger width. For  $d\sigma/dx$ , bins of equal width in  $\log_{10} x$  are used, three between x = 0.01 and x = 0.1, and four between x = 0.1 and x = 1.0. For the  $d\sigma/dy$  measurement, the y region is divided equally into two bins between y = 0.0 and y = 0.2, and five bins between y = 0.2 and y = 0.9. All bins are defined such that their sizes significantly exceed the resolutions of the respective variables (see Sect. 6.4). The values at which  $d\sigma/dQ^2$  and  $d\sigma/dx$  are quoted,  $Q_c^2$  and  $x_c$ , are chosen to be near the logarithmic center of each bin, except in the highest  $Q^2$  and x bins, where they are chosen lower than the logarithmic center, reflecting the very steeply falling cross sections. The cross section  $d\sigma/dy$  is quoted at the center,  $y_c$ , of each bin.

The statistical errors are calculated using the square root of the number of measured events, N, for N > 100and otherwise from 68% Poisson confidence intervals around N.

The values of  $Q_c^2$ ,  $x_c$  and  $y_c$ , the number of observed events,  $N_{\rm obs}$ , the estimated number of background events,  $N_{\rm bg}$ , the acceptance,  $\mathcal{A}$ , and the radiative correction factor,  $C_{\rm rad}$ , are given in Tables 1–4.

# 8 Systematic uncertainties

The major sources of systematic uncertainties for the quoted cross sections are described below. The individual uncertainties are added in quadrature separately for the positive and negative deviations from the nominal cross section values to obtain the total systematic uncertainty. The uncertainty on the luminosity of the combined 1994 - 1997 sample is 1.6% and is not included in the total systematic uncertainty.

- Uncertainty of the calorimeter energy scale

The uncertainty in the hadronic energy scale of the calorimeter is determined by the methods described in [6] to be 2% for FCAL and BCAL, and 3% for RCAL. Varying the energy scale of the calorimeter separately by this amount in the detector simulation induces shifts of the Jacquet-Blondel estimators for the kinematic variables. The resulting systematic errors in the measured cross sections are typically less than 10%, but increase to ~ 30% in the highest  $Q^2$  bin and ~ 25% in the highest x bin.

A 2% fraction of the accepted events have a measurable energy leakage from the CAL into the BAC. The average energy leakage for these events is 4 GeV. This effect on the cross-section measurement is negligible.

**Table 1.** The differential cross section  $d\sigma/dQ^2$  for the reaction  $e^+p \rightarrow \bar{\nu}_e X$ . The following quantities are given for each bin: the  $Q^2$  range; the value at which the cross section is quoted,  $Q_c^2$ ; the number of selected events,  $N_{obs}$ ; the number of expected background events,  $N_{bg}$ ; the acceptance,  $\mathcal{A}$ ; the radiative correction factor,  $\mathcal{C}_{rad}$  (see Sect. 7); the measured Born–level cross section,  $d\sigma/dQ^2$ ; and the Born–level cross section predicted by the Standard Model, using CTEQ4D PDFs. The first error of each measured cross-section value gives the statistical error, the second the systematic uncertainty

$Q^2$ range	$Q_c^2$	NT	N	$\mathcal{A}$	$\mathcal{C}_{rad}$	$d\sigma/dQ^2({ m pb/GeV}^2)$		
$({ m GeV}^2)$	$({\rm GeV}^2)$	IVobs	$N_{bg}$			measured	$\mathbf{SM}$	
200 - 400	280	141	16.5	0.46	1.01	$2.94 \pm 0.28 {}^{+0.35}_{-0.34} \cdot 10^{-2}$	$2.80 \cdot 10^{-2}$	
400 - 711	530	173	5.3	0.64	1.01	$1.82 \pm 0.14 \pm 0.08 \cdot 10^{-2}$	$1.87\cdot 10^{-2}$	
711 - 1265	950	248	2.3	0.74	1.01	$1.29 \pm \! 0.08 \pm \! 0.03 \cdot \! 10^{-2}$	$1.15\cdot 10^{-2}$	
1265 - 2249	1700	205	2.3	0.79	1.03	$5.62 \pm 0.40 \pm 0.08 \cdot 10^{-3}$	$6.07\cdot 10^{-3}$	
2249 - 4000	3000	169	2.4	0.81	1.04	$2.62 \pm 0.20  {}^{+0.04}_{-0.09}  \cdot 10^{-3}$	$2.61\cdot 10^{-3}$	
4000 - 7113	5300	91	0.6	0.82	1.05	$7.91^{+0.93}_{-0.83}  {}^{+0.38}_{-0.31}  \cdot 10^{-4}$	$8.29\cdot 10^{-4}$	
7113 - 12649	9500	45	0.7	0.86	1.07	$2.00^{+0.35}_{-0.30} \pm 0.17 \cdot 10^{-4}$	$1.65\cdot 10^{-4}$	
12649 - 22494	17000	13	0.1	0.96	1.07	$2.61^{+0.95}_{-0.72}  {}^{+0.45}_{-0.38}  \cdot 10^{-5}$	$1.71\cdot 10^{-5}$	
22494 - 60000	30000	1	0.01	1.48	1.09	$5.9 \begin{array}{c} +14. \\ -4.9 \end{array} \begin{array}{c} +1.8 \\ -1.5 \end{array} \cdot 10^{-7}$	$6.24\cdot 10^{-7}$	

**Table 2.** The differential cross section  $d\sigma/dx$  for the reaction  $e^+p \rightarrow \bar{\nu}_e X$  for  $Q^2 > 200 \text{ GeV}^2$ . The following quantities are given for each bin: the *x* range; the value at which the cross section is quoted,  $x_c$ ; the number of selected events,  $N_{\text{obs}}$ ; the number of expected background events,  $N_{\text{bg}}$ ; the acceptance,  $\mathcal{A}$ ; the radiative correction factor,  $\mathcal{C}_{\text{rad}}$  (see Sect. 7); the measured Born– level cross section,  $d\sigma/dx$ ; and the Born–level cross section predicted by the Standard Model, using CTEQ4D PDFs. The first error of each measured cross-section value gives the statistical error, the second the systematic uncertainty

	$x_c$ N	N	$N_{bg}$	$\mathcal{A}$	$\mathcal{C}_{rad}$ -	$d\sigma/dx({ m pb})$		
x range		INobs				measured	$\mathbf{SM}$	
0.0100 - 0.0215	0.015	136	4.0	0.56	1.04	$4.50 \pm 0.40 {}^{+0.34}_{-0.35} \cdot 10^2$	$3.97 \cdot 10^2$	
0.0215 - 0.0464	0.032	246	7.4	0.79	1.02	$2.64 \pm\! 0.17 \pm\! 0.07 \cdot\! 10^2$	$2.76 \cdot 10^2$	
0.0464 - 0.1000	0.068	306	10.0	0.85	1.02	$1.44 \pm \! 0.08 \pm \! 0.03 \cdot \! 10^2$	$1.50 \cdot 10^2$	
0.1000 - 0.1780	0.130	200	2.9	0.82	1.01	$6.88 \pm 0.49  {}^{+0.17}_{-0.12} \ \cdot 10^1$	$7.04\cdot 10^1$	
0.1780 - 0.3160	0.240	124	0.6	0.73	1.01	$2.57 \pm 0.23  {}^{+0.09}_{-0.10}  \cdot 10^1$	$2.39\cdot 10^1$	
0.3160 - 0.5620	0.420	46	0.06	0.56	1.00	$6.8 \begin{array}{c} +1.2 \\ -1.0 \end{array} \pm 0.6 \ \cdot 10^{0}$	$4.56\cdot 10^0$	
0.5620 - 1.0000	0.650	3	0.00	0.36	1.02	$8.1 \begin{array}{cc} +7.8 \\ -4.4 \end{array} \begin{array}{c} +2.1 \\ -1.6 \end{array} \cdot 10^{-1}$	$3.55\cdot 10^{-1}$	

- Variation of selection thresholds
- The threshold values of the selection cuts are varied independently in MC by typically 10%. The largest effect is observed when varying the  $P_T$  threshold; this changes the cross section by 8% in the lowest  $Q^2$  bin and 4% in the highest y bin. Varying the  $P_T'$  threshold produces a 3% change in the lowest y bin.
- Uncertainty in the parton-shower scheme To test the sensitivity of the results to the details of the simulation of higher-order QCD effects in the hadronic final state, the LEPTO MEPS model is used instead of the ARIADNE model for calculating the acceptance. The largest effects are observed in the bins of lowest  $Q^2$  (8%), highest  $Q^2$  (6%), lowest x (5%) and highest y (5%).
- Background subtraction

The uncertainty of the photoproduction background is

estimated by fitting a linear combination of the  $P_T/E_T$ distributions of the signal and the photoproduction MC samples to the corresponding distribution in the data, allowing the normalizations of the direct and resolved photoproduction components to vary. No cut on  $P_T/E_T$  is applied for this check. A 40% uncertainty in the photoproduction background is found, leading to a sizable systematic error in the lowest  $Q^2$ bins (4% in  $d\sigma/dQ^2$  and maximum 7% in  $d^2\sigma/dxdQ^2$ ). At  $Q^2 > 400 \text{ GeV}^2$ , the effect is less than 1%.

- Trigger efficiency

The simulation of the efficiency of the first-level trigger as a function of  $P_T$  is examined by using data events triggered independently. This independent trigger is efficient for CC events and is based on the calorimeter energy sums. The difference between the efficiencies

**Table 3.** The differential cross section  $d\sigma/dy$  for the reaction  $e^+p \rightarrow \bar{\nu}_e X$  for  $Q^2 > 200 \text{ GeV}^2$ . The following quantities are given for each bin: the y range; the value at which the cross section is quoted,  $y_c$ ; the number of selected events,  $N_{obs}$ ; the number of expected background events,  $N_{bg}$ ; the acceptance,  $\mathcal{A}$ ; the radiative correction factor,  $C_{rad}$  (see Sect. 7); the measured Born–level cross section,  $d\sigma/dy$ ; and the Born–level cross section predicted by the Standard Model, using CTEQ4D PDFs. The first error of each measured cross section value gives the statistical error, the second the systematic uncertainty

44 2020	$y_c$	$N_{obs}$	$N_{bg}$	$\mathcal{A}$	$\mathcal{C}_{rad}$ -	$d\sigma/dy({ m pb})$		
<i>y</i> range						measured	$\mathbf{SM}$	
0.00 - 0.10	0.05	192	7.3	0.64	0.98	$6.95 \pm 0.52 \pm 0.28 \cdot 10^1$	$6.92\cdot 10^1$	
0.10 - 0.20	0.15	249	5.2	0.85	0.99	$5.92 \pm \! 0.38 \pm \! 0.14 \cdot \! 10^{1}$	$5.79\cdot 10^1$	
0.20 - 0.34	0.27	240	2.6	0.83	1.01	$4.27 \pm 0.28  {}^{+0.11}_{-0.09}  \cdot 10^1$	$4.30\cdot 10^1$	
0.34 - 0.48	0.41	185	5.0	0.78	1.03	$3.52 \pm 0.27 \pm 0.08 \cdot 10^1$	$3.11 \cdot 10^1$	
0.48 - 0.62	0.55	117	3.8	0.70	1.05	$2.46 \pm 0.24  {}^{+0.09}_{-0.08}  \cdot 10^1$	$2.34\cdot 10^1$	
0.62 - 0.76	0.69	61	2.1	0.60	1.07	$1.55  {}^{+0.23}_{-0.20}  {}^{+0.07}_{-0.10}  \cdot 10^1$	$1.84\cdot 10^1$	
0.76 - 0.90	0.83	42	1.1	0.44	1.10	$1.49^{+0.27}_{-0.24}$ $\pm 0.13 \cdot 10^1$	$1.53 \cdot 10^1$	

calculated from the data and from MC has a negligible effect on the measured cross section.

- Choice of parton distribution functions
- The CC MC events are generated using the CTEQ4D PDFs. To examine the influence of variations of the PDFs on the cross-section measurement, the PDFs of MRSA [32] and GRV94 [33] are also considered. In addition, a modification of the *d*-quark to *u*-quark density ratio according to the prescription  $(d/u) + \delta(d/u) = (d/u) + 0.1x(x+1)$  (see [34] and discussion in Sect. 9) has been tested. This increases the predicted  $e^+p$  CC cross section at high *x*.

The MC events are re-weighted using these alternative PDFs and new acceptance correction factors are computed. The change in the measured cross section is typically < 1% and at most 2.5% over the entire kinematic range of interest.

The radiative correction factors also depend on the choice of the PDFs. Using the program HECTOR [35], the difference in the radiative correction factors by changing the PDFs is found to be typically less than 0.1% and thus is neglected.

The calculation of the differential cross section in a given bin according to (13) uses the ratio of the differential to the integrated SM cross sections. The ratio is sensitive to the shape of the PDFs within this bin. The largest effects are observed in the highest  $Q^2$  bin (3.5%) and highest x bin (3%).

- The effect of  $F_L$ 

The DJANGO program neglects the  $F_L$  contribution when generating CC events. The corresponding effect on the acceptance correction factors is evaluated by reweighting MC events with the ratio of the cross sections with and without  $F_L$ . The largest effect is observed in the highest y bin where it amounts to 1.5%. Uncertainty in the radiative correction

The uncertainties in the radiative correction as determined with HERACLES are estimated to be smaller than 3% in the kinematic region considered [36] and are not included in the total systematic uncertainty.

## 9 Cross-section results

#### 9.1 Single-differential cross sections

The differential cross-sections  $d\sigma/dQ^2$ ,  $d\sigma/dx$  and  $d\sigma/dy$ are shown in Figs. 4a, 5a and 6a and are compiled in Tables 1–3. The uncertainty of the measured cross sections are dominated by statistical errors and are typically 7– 20%. The cross-section  $d\sigma/dQ^2$  falls by a factor of about 50000 between  $Q^2 = 280$  and 30000 GeV<sup>2</sup>. As a function of x, the cross-section  $d\sigma/dx$  is largest at small x, showing a gradual decrease from  $x = 10^{-2}$  to  $2 \cdot 10^{-1}$ , followed by a sharp drop towards x = 0.6. The cross-section  $d\sigma/dy$ decreases slowly as a function of y for y > 0.1.

The Standard Model cross sections from (2) using CTEQ4D are also shown in Figs. 4–6, together with the ratios of the measured to the SM cross section. The Standard Model describes the data well, with the possible exception of  $d\sigma/dx$ , where the measurement at  $x \gtrsim 0.3$  is somewhat above the CTEQ4D prediction.

Also shown are the cross-section predictions obtained from a NLO QCD fit [11], together with their uncertainties. The fit is made to the data from fixed-target experiments and NC DIS measurements at HERA in the region  $Q^2 < 5000 \text{ GeV}^2$ . Neither the CC data from HERA nor the recent high- $Q^2$  NC data from ZEUS [6] are included in the fit. The prediction from the fit also describes the data well, and in particular describes  $d\sigma/dx$  at higher xbetter than the CTEQ4D prediction<sup>1</sup>. The  $e^+p$  CC DIS cross section is dominated by the d-quark contribution at

<sup>&</sup>lt;sup>1</sup> The CTEQ5 PDFs [37], which have been made available recently, predict higher  $d\sigma/dx$  than CTEQ4D in the region 0.1 < x < 0.6.

**Table 4.** The double-differential cross-section  $d\sigma/dxdQ^2$  for the reaction  $e^+p \to \bar{\nu}_e X$ . The following quantities are given for each bin: the x and  $Q^2$  range; the values at which the cross section is quoted,  $x_c$  and  $Q_c^2$ ; the number of selected events,  $N_{obs}$ ; the number of expected background events,  $N_{bg}$ ; the acceptance,  $\mathcal{A}$ ; the radiative-correction factor,  $C_{rad}$  (see Sect. 7); the measured Born–level cross-section,  $d\sigma/dxdQ^2$ ; and the Born–level cross-section predicted by the Standard Model, using CTEQ4D PDFs. The first error of each measured cross-section value gives the statistical error, the second the systematic uncertainty

$Q^2$ range	x range	$Q_c^2$		N	λĭ		0	$d^2\sigma/dx dQ^2 ({ m pb}/{ m G})$	$GeV^2$ )
$({ m GeV}^2)$		$(GeV^2)$	$x_c$	IVobs	INbg	$\mathcal{A}$	$\mathcal{L}_{rad}$	measured	SM
200 - 400	0.0100 - 0.0215	280	0.015	50	2.0	0.64	1.00	$7.0 \ ^{+1.2}_{-1.0} \ \pm 0.7 \ \cdot 10^{-1}$	$5.27 \cdot 10^{-1}$
	0.0215 - 0.0464		0.032	42	4.7	0.80	0.99	$1.99^{+0.40}_{-0.34}{}^{+0.19}_{-0.18}$ $\cdot 10^{-1}$	$2.07 \cdot 10^{-1}$
	0.0464 - 0.1000		0.068	28	5.9	0.71	0.97	$6.2 \ ^{+1.8}_{-1.5} \ \pm 1.0 \ \cdot 10^{-2}$	$7.49 \cdot 10^{-2}$
400 - 711	0.0100 - 0.0215	530	0.015	42	1.6	0.64	1.02	$3.86^{+0.72}_{-0.62}{}^{+0.33}_{-0.38}$ $\cdot 10^{-1}$	$4.10 \cdot 10^{-1}$
	0.0215 - 0.0464		0.032	52	0.8	0.87	0.99	$1.62^{+0.26}_{-0.23}{}^{+0.09}_{-0.08}$ $\cdot 10^{-1}$	$1.76 \cdot 10^{-1}$
	0.0464 - 0.1000		0.068	44	1.0	0.86	0.98	$6.4 \ ^{+1.1}_{-1.0} \ \pm 0.3 \ \cdot 10^{-2}$	$6.60 \cdot 10^{-2}$
	0.1000 - 0.1780		0.130	21	0.5	0.77	0.98	$2.41^{+0.67}_{-0.53}{}^{+0.13}_{-0.07}$ $\cdot 10^{-2}$	$2.44 \cdot 10^{-2}$
711 - 1265	0.0100 - 0.0215	950	0.015	37	0.3	0.50	1.06	$2.97^{+0.58}_{-0.49}{}^{+0.30}_{-0.25}$ $\cdot 10^{-1}$	$2.72 \cdot 10^{-1}$
	0.0215 - 0.0464		0.032	85	0.4	0.88	1.01	$1.53^{+0.19}_{-0.17} \pm 0.04 \cdot 10^{-1}$	$1.32 \cdot 10^{-1}$
	0.0464 - 0.1000		0.068	69	0.5	0.89	0.99	$5.59^{+0.76}_{-0.68}{}^{+0.12}_{-0.10}$ $\cdot 10^{-2}$	$5.31 \cdot 10^{-2}$
	0.1000 - 0.1780		0.130	43	0.2	0.84	0.98	$2.61^{+0.46}_{-0.40}^{+0.10}_{-0.09}$ $\cdot 10^{-2}$	$2.02 \cdot 10^{-2}$
	0.1780 - 0.3160		0.240	14	0.0	0.66	0.99	$5.7 \begin{array}{c} +2.0 \\ -1.5 \end{array} \pm 0.3 \cdot 10^{-3}$	$5.90 \cdot 10^{-3}$
1265 - 2249	0.0215 - 0.0464	1700	0.032	58	0.9	0.77	1.05	$6.9 \begin{array}{c} +1.1 \\ -0.9 \end{array} \begin{array}{c} +0.3 \\ -0.2 \end{array} \cdot 10^{-2}$	$7.90 \cdot 10^{-2}$
	0.0464 - 0.1000		0.068	66	1.1	0.91	1.02	$3.03^{+0.43}_{-0.38} \pm 0.05 \cdot 10^{-2}$	$3.63 \cdot 10^{-2}$
	0.1000 - 0.1780		0.130	40	0.3	0.89	1.00	$1.31^{+0.24}_{-0.21}^{+0.01}_{-0.03}$ $\cdot 10^{-2}$	$1.47 \cdot 10^{-2}$
	0.1780 - 0.3160		0.240	28	0.3	0.83	1.00	$5.1 \begin{array}{c} +1.2 \\ -1.0 \end{array} \begin{array}{c} +0.2 \\ -0.1 \end{array} \cdot 10^{-3}$	$4.42 \cdot 10^{-3}$
2249 - 4000	0.0464 - 0.1000	3000	0.068	76	1.1	0.88	1.06	$2.12^{+0.28}_{-0.25}{}^{+0.02}_{-0.11}$ $\cdot 10^{-2}$	$1.92 \cdot 10^{-2}$
	0.1000 - 0.1780		0.130	42	0.2	0.89	1.03	$8.0 \begin{array}{c} +1.4 \\ -1.2 \\ -0.1 \end{array} \begin{array}{c} +0.2 \\ -0.1 \end{array} \cdot 10^{-3}$	$8.86 \cdot 10^{-3}$
	0.1780 - 0.3160		0.240	27	0.1	0.86	1.01	$2.79^{+0.65}_{-0.54}{}^{+0.10}_{-0.09}$ $\cdot 10^{-3}$	$2.84 \cdot 10^{-3}$
	0.3160 - 0.5620		0.420	15	0.0	0.75	1.00	$9.5 \begin{array}{c} +3.2 \\ -2.4 \end{array} \pm 0.6 \ \cdot 10^{-4}$	$5.03 \cdot 10^{-4}$
4000 - 7113	0.0464 - 0.1000	5300	0.068	22	0.4	0.69	1.07	$6.3 \begin{array}{c} +1.7 \\ -1.4 \end{array} \begin{array}{c} +0.2 \\ -0.4 \end{array} \cdot 10^{-3}$	$7.65 \cdot 10^{-3}$
	0.1000 - 0.1780		0.130	35	0.2	0.92	1.04	$3.75^{+0.75}_{-0.63}{}^{+0.13}_{-0.19}$ $\cdot 10^{-3}$	$3.86 \cdot 10^{-3}$
	0.1780 - 0.3160		0.240	26	0.1	0.89	1.03	$1.51^{+0.36}_{-0.30} {}^{+0.10}_{-0.09} \cdot 10^{-3}$	$1.43 \cdot 10^{-3}$
	0.3160 - 0.5620		0.420	8	0.0	0.84	1.04	$2.7 \begin{array}{c} +1.3 \\ -1.0 \end{array} \begin{array}{c} +0.4 \\ -0.2 \end{array} \cdot 10^{-4}$	$2.73 \cdot 10^{-4}$
7113 - 12649	0.1000 - 0.1780	9500	0.130	15	0.6	0.84	1.08	$1.06^{+0.37}_{-0.28}{}^{+0.04}_{-0.13}$ $\cdot 10^{-3}$	$1.05 \cdot 10^{-3}$
	0.1780 - 0.3160		0.240	18	0.1	0.94	1.05	$5.6 \begin{array}{c} +1.7 \\ -1.3 \end{array} \pm 0.4 \ \cdot 10^{-4}$	$4.74 \cdot 10^{-4}$
	0.3160 - 0.5620		0.420	10	0.0	0.90	1.05	$1.82^{+0.78}_{-0.57}{}^{+0.28}_{-0.19} \cdot 10^{-4}$	$1.09 \cdot 10^{-4}$
12649 - 22494	0.1780 - 0.3160	17000	0.240	5	0.1	1.04	1.07	$8.0 \begin{array}{c} +5.5 \\ -3.5 \\ -1.5 \end{array} \cdot 10^{-5}$	$7.97 \cdot 10^{-5}$
	0.3160 - 0.5620		0.420	7	0.0	1.03	1.06	$6.3 \begin{array}{c} +3.4 \\ -2.3 \end{array} \begin{array}{c} +1.3 \\ -0.9 \end{array} \cdot 10^{-5}$	$2.72 \cdot 10^{-5}$

high x, as can be seen from (3) and (4). The possibility of a larger d/u ratio than previously assumed has been of interest in recent years, for example see [34,38]. Modification [34] of PDFs with an additional term ( $\delta(d/u)$ ; see Sect. 8) yields  $d\sigma/dx$  close to the NLO QCD fit as shown in Fig. 5b. For comparison, the prediction of the MRST [39] PDFs is also shown in Fig. 5b.

#### 9.2 Double-differential cross section

The reduced double-differential cross section  $d^2\sigma/dxdQ^2$ is compiled in Table 4. The reduced double differential cross section,  $\tilde{\sigma}$ , is defined from the CC cross section as

$$\tilde{\sigma} = \left[\frac{G_F^2}{2\pi x} \left(\frac{M_W^2}{M_W^2 + Q^2}\right)^2\right]^{-1} \frac{d^2 \sigma_{\text{Born}}^{\text{CC}}}{dx \, dQ^2} = \frac{1}{2} \left[Y_+ F_2^{\text{CC}}(x, Q^2) - Y_- x F_3^{\text{CC}}(x, Q^2) - y^2 F_L^{\text{CC}}(x, Q^2)\right].$$
(14)

The reduced cross sections as functions of x and  $Q^2$  are displayed in Figs. 7 and 8. The predictions of the CTEQ4D PDFs give a good description of the data, although at the highest x value the measured cross sections lie above the predictions. The predictions from the NLO QCD fit at high x are higher than those from CTEQ4D.



**Fig. 4.** a The  $e^+p$  CC DIS Born cross-section  $d\sigma/dQ^2$  for data (solid points) and the Standard Model (SM) expectation evaluated using the CTEQ4D PDFs. **b** The ratio of the measured cross-section  $d\sigma/dQ^2$  to the SM expectation evaluated using the CTEQ4D PDFs. The statistical errors are indicated by the inner error bars (delimited by horizontal lines), while the full error bars show the total error obtained by adding the statistical and systematic contributions in quadrature. Also shown by a dot-dashed line is the result of the NLO QCD fit together with the associated PDF uncertainties (shaded band)

In leading-order QCD,  $\tilde{\sigma}$  depends on the quark momentum distributions as follows

$$\tilde{\sigma} = x \left[ \bar{u} + \bar{c} + (1 - y)^2 (d + s) \right]. \tag{15}$$

As a result, for fixed  $Q^2$ ,  $\tilde{\sigma}$  at low x (i.e. high y) is mainly sensitive to the antiquark combination  $(\bar{u}+\bar{c})$  while at high x (i.e. low y) it is dominated by the quark combination (d+s). These PDF combinations evaluated with the leadingorder CTEQ4L parameterization are shown separately in Fig. 7. Both the quark and the antiquark combinations are required in order to obtain a good description of the data.

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Fig. 5. a The  $e^+p$  CC DIS Born cross-section  $d\sigma/dx$  for data (solid points) and the Standard Model (SM) expectation evaluated using the CTEQ4D PDFs. b The ratio of the measured cross-section  $d\sigma/dx$  to the SM expectation evaluated using the CTEQ4D PDFs. The statistical errors are indicated by the inner error bars (delimited by horizontal lines), while the full error bars show the total error obtained by adding the statistical and systematic contributions in quadrature. Also shown by a dot-dashed line is the result of the NLO QCD fit together with the associated PDF uncertainties (shaded band). The dashed line represents the result of modifying the d/uratio with  $\delta(d/u) = 0.1x(x + 1)$ . The dotted line shows the prediction from MRST PDFs

### 9.3 Comparison of NC and CC cross sections

Figure 9 compares the cross section  $d\sigma/dQ^2$  for CC scattering with the ZEUS result for NC scattering [6]. At low  $Q^2$ , the CC cross section is much smaller than the NC cross section due to the relative strength of the weak force compared to the electromagnetic force. However, the CC cross section decreases with  $Q^2$  less rapidly than that for NC scattering, reflecting the behavior of the W propagator as contrasted to the photon propagator which dominates NC scattering. At  $Q^2 \sim M_W^2, M_Z^2$ , the CC and NC cross

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**Fig. 6.** a The  $e^+p$  CC DIS Born cross-section  $d\sigma/dy$  for data (solid points) and the Standard Model (SM) expectation evaluated using the CTEQ4D PDFs. **b** The ratio of the measured cross-section  $d\sigma/dy$  to the SM expectation evaluated using the CTEQ4D PDFs. The statistical errors are indicated by the inner error bars (delimited by horizontal lines), while the full error bars show the total error obtained by adding the statistical and systematic contributions in quadrature. Also shown by a dot-dashed line is the result of the NLO QCD fit together with the associated PDF uncertainties (shaded band)

sections become comparable; at these large  $Q^2$ , the weak and electromagnetic forces are of similar strengths. At yet higher  $Q^2$ , the rapid fall of both CC and NC cross sections with  $Q^2$  is due to the effects of the W and Z propagators, the decrease of the parton densities with increasing x, and, in particular for CC  $e^+p$  scattering, the  $(1-y)^2$ term in the cross section. These observations were made in previous HERA measurements [2,3]; here they are clearly demonstrated with a much improved precision.



Fig. 7. The reduced cross section,  $\tilde{\sigma}$ , as a function of x, for fixed values of  $Q^2$ . The dots represent the data, while the expectations of the Standard Model evaluated using the CTEQ4D PDFs are shown as the solid lines. For illustration, the leading-order PDF combinations  $x(\bar{u}+\bar{c})$  and  $(1-y)^2 x(d+s)$ , taken from the CTEQ4L parameterization, are plotted as dotted and dashed lines, respectively. Also shown is the result of the NLO QCD fit (dash-dotted line)

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#### 10 Electroweak analysis

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The absolute magnitude of the CC cross section, described by (2), is determined by the Fermi constant,  $G_F$ , and the PDFs, while the  $Q^2$  dependence of the CC cross section includes the propagator term  $[M_W^2/(M_W^2+Q^2)]^2$ , which produces substantial damping of the cross section at high  $Q^2$ . To compare the experimental results with the predictions of the Standard Model, a  $\chi^2$  fit to the measured differential cross-section,  $d\sigma/dQ^2$ , has been performed, treating  $G_F$  and  $M_W$  as free parameters purely for the purpose of this exercise. The result of this fit is

$$G_F = \left(1.171 \pm 0.034 \,(\text{stat.})^{+0.026}_{-0.032} \,(\text{syst.})^{+0.016}_{-0.015} \,(\text{PDF})\right) \\ \times 10^{-5} \,\text{GeV}^{-2}, \tag{16}$$

and

$$M_W = 80.8^{+4.9}_{-4.5} (\text{stat.})^{+5.0}_{-4.3} (\text{syst.})^{+1.4}_{-1.3} (\text{PDF}) \text{ GeV.} (17)$$

 $x(\bar{u} + \bar{c})$ 

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**Fig. 8.** The reduced cross section,  $\tilde{\sigma}$ , as a function of  $Q^2$ , for fixed values of x. The dots represent the data, while the expectations of the Standard Model evaluated using the CTEQ4D PDFs are shown as the solid lines. Also shown is the result of the NLO QCD fit (dash-dotted line)

The central values are obtained using the CTEQ4D PDFs. The major sources of systematic uncertainty in the determination of the cross section, namely the energy scale, the parton shower scheme and the luminosity, are taken into account in the systematic errors. The PDF errors quoted are obtained by re-evaluating the PDFs within the uncertainties given by the NLO QCD fit [11]. The sensitivity of the result to variation of the value of  $G_F$ assumed in the extraction of the PDFs is negligible compared to the uncertainties quoted above. The point which gives minimum  $\chi^2$  ( $\chi^2_{min}$ ) is displayed in Fig. 10 as the triangle together with the 70% confidence level contour. This contour was determined using statistical errors only. The value of  $G_F$  obtained is in good agreement with the value  $G_F = (1.16639 \pm 0.00001) \times 10^{-5} \text{ GeV}^{-2}$  obtained from muon decay [10], implying the universality of the CC interaction over a wide range of  $Q^2$ . The value of  $M_W$  obtained agrees with the value of  $M_W = 80.41 \pm 0.10 \text{ GeV}$ from the PDG fit [10], using time-like production of W



Fig. 9. Comparison of the differential cross-sections  $d\sigma/dQ^2$ for NC (solid dots) and CC(open dots) deep inelastic  $e^+p$  scattering from the ZEUS 1994-97 analysis. The lines represent the SM predictions evaluated using CTEQ4D PDFs. The secondhighest  $Q^2$  bin in the NC measurement contains no event: thus an upper limit of the cross section at 95% confidence level is quoted and indicated by the arrow head. This cross section is quoted at  $Q^2 = 30400 \text{ GeV}^2$  (very close to the CC point at  $Q^2 = 30000 \text{ GeV}^2$ ), as indicated by the lower end of the bent line

bosons at the Tevatron and at LEP. Since CC DIS represents space-like exchange, the result (17) is complementary to measurements of  $M_W$  from  $p\bar{p}$  or  $e^+e^-$  annihilation. This result constitutes an important experimental consistency check of the Standard Model.

Two more fits are performed to determine  $M_W$  under more restrictive theoretical assumptions. First, by evaluating the  $\chi^2$  function along the line  $G_F = 1.16639 \times 10^{-5} \text{ GeV}^{-2}$ , a measurement of the 'propagator mass' of the exchanged W boson can be made. The determination of the propagator mass is an important test of the SM description of the CC in the space-like regime. Second, a 'Standard Model fit' may be performed by evaluating the  $\chi^2$  function along the SM constraint

$$G_F = \frac{\pi \alpha}{\sqrt{2}} \frac{M_Z^2}{(M_Z^2 - M_W^2) M_W^2} \frac{1}{1 - \Delta r},$$
 (18)

where  $M_Z$  is the mass of the Z boson and  $\alpha$  is the fine structure constant. The term  $\Delta r$  contains the radiative corrections to the lowest-order expression for  $G_F$  and is a function of  $\alpha$  and the masses of the fundamental bosons and fermions [40]. The constraint implied by (18) on  $G_F$ and  $M_W$  is also shown in Fig. 10 as the heavy solid line. As can be seen from the plot, the value of  $G_F$  has a strong



Fig. 10. The result of a fit of the CC DIS cross section to determine  $G_F$  and  $M_W$ . The triangle indicates the result of the fit, i.e. the position of  $\chi^2_{\min}$ , the minimum value of  $\chi^2$ . The 70% confidence level contour is shown as the ellipse. The dot with error bar shows the result of the 'propagator-mass' fit, described in the text, in which the  $\chi^2$  function is evaluated along the horizontal line  $G_F = 1.16639 \times 10^{-5} \,\text{GeV}^{-2}$ . The SM constraint implied by (18) is shown as the heavy solid line. The large star shows the position of  $\chi^2_{\rm min}(SM)$ , the minimum of the  $\chi^2$  function evaluated along the SM constraint line. The solid bars crossing the SM constraint line show where  $\chi^2(SM) = \chi^2_{min}(SM) + 1$ . The small star at the bottom with the error bar shows the value of  $M_W$  obtained in the 'Standard Model fit'. Note that all errors and the confidence level contour correspond to statistical errors only. Also shown (dotted) are lines of constant  $G_F$  (1.16639 × 10<sup>-5</sup> GeV<sup>-2</sup>) and constant  $M_W$  (80.41 GeV)

dependence on  $M_W$ . Therefore, within the context of the SM, the greatest sensitivity to  $M_W$  in this experiment may be obtained by combining the  $M_W$  dependence of the propagator term in the CC cross section with the  $M_W$  dependence of  $G_F$ .

The 'propagator-mass' fit to the measured differential cross-section  $d\sigma/dQ^2$  with  $G_F$  fixed to the value  $G_F = 1.16639 \times 10^{-5} \text{ GeV}^{-2}$ , yields the result

$$M_W = 81.4^{+2.7}_{-2.6}$$
(stat.) $\pm 2.0$ (syst.) $^{+3.3}_{-3.0}$ (PDF) GeV, (19)

shown in Fig. 10 as the solid dot with horizontal error bars. The use of the  $G_F$  constraint has significantly reduced the

uncertainty on the estimation of  $M_W$  compared to the value obtained in the unconstrained fit (17). The result is in agreement with the value of  $M_W$  obtained by direct measurement,  $M_W = 80.41 \pm 0.10$  GeV [10].

In order to use the SM constraint (18),  $\alpha$ ,  $M_Z$ , and all fermion masses, other than the mass of the top quark,  $M_t$ , are set to the PDG values [10]. The central result of the fit was obtained with  $M_t = 175$  GeV and the mass of the Higgs boson  $M_H = 100$  GeV. The  $\chi^2$  function is evaluated along the line given by the SM constraint and the position of the minimum, shown in Fig. 10 as the large star, gives the 'Standard Model fit' result<sup>2</sup>

$$M_W = 80.50^{+0.24}_{-0.25} (\text{stat.})^{+0.13}_{-0.16} (\text{syst.}) \pm 0.31 (\text{PDF})^{+0.03}_{-0.06} \times (\Delta M_t, \Delta M_H, \Delta M_Z) \text{ GeV.}$$
(20)

The error labeled  $\Delta M_t, \Delta M_H, \Delta M_Z$  is obtained by reevaluating  $M_W$  with  $M_t$  in the range 170 <  $M_t$ < 180 GeV,  $M_H$  in the range 100 <  $M_H$  < 220 GeV and  $M_Z$  in the range 91.180 <  $M_Z$  < 91.194 GeV. The dependence of  $M_W$  on these changes is small, and the resulting error is negligible compared with the other errors quoted above. This result is in agreement with the value of  $M_W = 80.35 \pm 0.21$  GeV obtained in fixed-target neutrino-nucleon DIS [41]. The good agreement with both direct and indirect determinations of  $M_W$  indicates that the SM gives a consistent description of a variety of phenomena over a wide range of energy scales.

# 11 Summary

Charged-current deep inelastic scattering,  $e^+p \rightarrow \bar{\nu}_e X$ , has been measured with the ZEUS detector at HERA using 47.7 pb<sup>-1</sup> of data collected during 1994–1997. Singledifferential cross-sections  $d\sigma/dQ^2$ ,  $d\sigma/dx$  and  $d\sigma/dy$  have been presented with typical uncertainties of 7–20%. The cross-section  $d\sigma/dQ^2$  falls by a factor of about 50000 as  $Q^2$ increases from 280 to 30000 GeV<sup>2</sup>. The double-differential cross-section  $d^2\sigma/dxdQ^2$  has also been measured. A comparison between the data and Standard Model (SM) predictions shows clearly that contributions from antiquarks ( $\bar{u}$  and  $\bar{c}$ ) and quarks (d and s) are both required by the data. The predictions of the SM, using recent parton distribution functions, give a good description of the full body of the data presented in this paper.

The charged-current (CC) cross-section results for  $d\sigma/dQ^2$  presented here have been compared with the recent ZEUS results for neutral-current (NC) scattering. The CC cross section is found to fall with  $Q^2$  less rapidly and to approach the NC cross section at  $Q^2 \gtrsim M_W^2, M_Z^2$ , in agreement with previous observations at HERA. This shows that the weak and electromagnetic forces reach similar strengths for  $Q^2$  above  $M_W^2, M_Z^2$ .

<sup>&</sup>lt;sup>2</sup> It should be clearly understood that (20) represents a constrained fit using assumptions on the validity of the Standard Model which implies that the result cannot be used in a global average of experimental values of  $M_W$ .

A fit to the data for  $d\sigma/dQ^2$  with the Fermi constant,  $G_F$ , and  $M_W$  as independent parameters yields

$$G_F = \left(1.171\pm0.034 \,(\text{stat.})^{+0.026}_{-0.032} \,(\text{syst.})^{+0.016}_{-0.015} \,(\text{PDF})\right) \\ \times 10^{-5} \,\text{GeV}^{-2}, \\ M_W = 80.8^{+4.9}_{-4.5} \,(\text{stat.})^{+5.0}_{-4.3} \,(\text{syst.})^{+1.4}_{-1.3} \,(\text{PDF}) \,\,\text{GeV}.$$

A propagator fit, with  $G_F$  fixed to the PDG value [10], yields

$$M_W = 81.4^{+2.7}_{-2.6}$$
(stat.) $\pm 2.0$ (syst.) $^{+3.3}_{-3.0}$ (PDF) GeV.

These results show that the SM gives a consistent description of charged-current induced time-like and space-like processes over a wide range of virtualities.

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